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Batalin-Vilkovisky formalism and General Relativity

Klaus Fredenhagen, Katarzyna Rejzner

II. Institute for Theoretical Physics, Hamburg University

Paderborn, 15.04.2011



Outline of the talk

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- Kinematical structure
- Smooth calculus in lcvs
- Equations of motion and symmetries

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- Action and symmetries
- BV complex on natural transformations





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• Very successful in perturbative quantum field theory





BV formalism

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- Very successful in perturbative quantum field theory
- Implements gauge fixing in a general framework





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- Uses powerful methods of homological algebra [Henneaux, ...]





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- Uses powerful methods of homological algebra [Henneaux, ...]
- Not very well understood for infinite dimensional spaces
- Completely neglects topological and functional-analytic aspects
- Needs more fundamental structural understanding





This talk is based on:

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• K. Fredenhagen, K. R.,

Batalin-Vilkovisky formalism in the functional approach to classical field theory, [arXiv:math-ph/1101.5112].







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- R. Brunetti, K. Fredenhagen *Towards a Background Independent Formulation of Perturbative Quantum Gravity*, [arXiv:gr-qc/0603079v3].





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Obj(Loc): all four-dimensional, globally hyperbolic oriented and time-oriented spacetimes (M, g).





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Obj(Loc): all four-dimensional, globally hyperbolic oriented and time-oriented spacetimes (M, g). Morphisms: Isometric embeddings that fulfill:

• Given $(M_1, g_1), (M_2, g_2) \in \text{Obj}(\text{Loc}),$ for any causal curve $\gamma : [a, b] \to M_2$, if $\gamma(a), \gamma(b) \in \psi(M_1)$ then for all $t \in]a, b[$ we have: $\gamma(t) \in \psi(M_1).$







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Obj(**Loc**): all four-dimensional, globally hyperbolic oriented and time-oriented spacetimes (M, g). **Morphisms**: Isometric embeddings that fulfill:

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- Preserving orientation and time-orientation of the embedded spacetime.







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- Preserving orientation and time-orientation of the embedded spacetime.
- Obj(Vec): (small) topological vector spaces Morphisms: morphisms of topological vector spaces







Statement of the problem

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In our formulation with a physical system we associate:

The configurations space 𝔅(M) of all fields of the theory. 𝔅 is a contravariant functor from Loc (spacetimes) to Vec (lcvs). For the scalar field: 𝔅(M) = 𝔅[∞](M).





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- The space of compactly supported fields $\mathfrak{E}_c(M)$. \mathfrak{E}_c is a covariant functor from Loc to Vec.





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- The space of compactly supported fields $\mathfrak{E}_c(M)$. \mathfrak{E}_c is a covariant functor from **Loc** to **Vec**.
- D: Loc → Vec a covariant functor that assigns to *M* the space of compactly supported test functions D(*M*).



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- The space of compactly supported fields $\mathfrak{E}_c(M)$. \mathfrak{E}_c is a covariant functor from **Loc** to **Vec**.
- D: Loc → Vec a covariant functor that assigns to *M* the space of compactly supported test functions D(*M*).
- The space of smooth, compactly supported functionals on $\mathfrak{E}(M)$. This also defines a covariant functor $\mathfrak{F} : \mathbf{Loc} \to \mathbf{Vec}$ (+ regularity conditions: local, microcausal, ...).





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• Smoothness understood in the sense of calculus on locally convex vector spaces.





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- Smoothness understood in the sense of calculus on locally convex vector spaces.
- The support of a functional $F \in \mathcal{C}^{\infty}(\mathfrak{E}(M))$

supp $F = \{x \in M | \forall \text{ neighbourhoods } U \text{ of } x \exists \phi, \psi \in \mathfrak{E}(M),$ supp $\psi \subset U$ such that $F(\phi + \psi) \neq F(\phi)\}$.





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• *F* is local if it is of the form: $F(\phi) = \int_M f(j_x(\phi)) d\mu(x)$, where *f* is a function on the jet bundle over *M* and $j_x(\phi)$ is the jet of ϕ at the point *x*. \mathfrak{F}_{loc} is a subfunctor of \mathfrak{F} .



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- In this talk we restrict ourselves to *multilocal functionals*, which are defined as finite sums of finite products of local functionals.



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• The dynamics is introduced by a generalized Lagrangian L which is a natural transformation between functors \mathfrak{D} and $\mathfrak{F}_{\text{loc}}$, s.t.: $\operatorname{supp}(L_M(f)) \subseteq \operatorname{supp}(f)$, and $L_M(\bullet)$ is additive in f. The action S(L) is an equivalence class of Lagrangians. We say that $L_1 \sim L_2$ if $\forall f \in \mathfrak{D}(M), M \in \operatorname{Loc}$:

$$\operatorname{supp}(L_{1,M}-L_{2,M})(f)\subset \operatorname{supp} df.$$



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Conclusions

• The dynamics is introduced by a generalized Lagrangian L which is a natural transformation between functors \mathfrak{D} and \mathfrak{F}_{loc} , s.t.: supp $(L_M(f)) \subseteq$ supp(f), and $L_M(\bullet)$ is additive in f. The action S(L) is an equivalence class of Lagrangians. We say that $L_1 \sim L_2$ if $\forall f \in \mathfrak{D}(M), M \in Loc$:

$$\operatorname{supp}(L_{1,M}-L_{2,M})(f)\subset \operatorname{supp} df.$$

• For example:
$$L_M(f) = \int_M \left(\frac{1}{2}\phi^2 + \frac{1}{2}\nabla_\mu\phi\nabla^\mu\phi\right) f \,d\mathrm{vol}_M.$$



Vector fields

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• Vector fields X on $\mathfrak{E}(M)$ (trivial infinite dimensional manifold) can be considered as maps from $\mathfrak{E}(M)$ to $\mathfrak{E}(M)$.



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- Vector fields X on $\mathfrak{E}(M)$ (trivial infinite dimensional manifold) can be considered as maps from $\mathfrak{E}(M)$ to $\mathfrak{E}(M)$.
- We restrict ourselves to smooth maps X with image in $\mathfrak{E}_c(M)$. They act on $\mathfrak{F}(M)$ as derivations: $\partial_X F(\phi) := \langle F^{(1)}(\phi), X(\phi) \rangle$



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- We consider only the multilocal (products of local vector fields and local functionals) vector fields with compact support.


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- We consider only the multilocal (products of local vector fields and local functionals) vector fields with compact support.
- The space of vector fields with above properties is denoted by 𝔅(*M*). 𝔅 becomes a (covariant) functor by setting: 𝔅_χ(*X*) = 𝔅_cχ ∘ *X* ∘ 𝔅_χ.



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• The Euler-Lagrange derivative of *S* is a natural transformation $S' : \mathfrak{E} \to \mathfrak{D}'$ defined by: $\langle S'_M(\varphi), h \rangle = \langle L_M(f)^{(1)}(\varphi), h \rangle$ with $f \equiv 1$ on supph. The field equation is: $S'_M(\varphi) = 0$.





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- A vector field X ∈ 𝔅(M) is called a symmetry of the action S if it holds ∀φ ∈ 𝔅(M):

 $0 = \left\langle S'_{M}(\varphi), X(\varphi) \right\rangle = \partial_{X}(S_{M})(\varphi) =: \delta_{S}(X)(\varphi).$





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 $0 = \left\langle S'_M(\varphi), X(\varphi) \right\rangle = \partial_X(S_M)(\varphi) =: \delta_S(X)(\varphi).$



$$\left\langle L_M(f)^{(1)}(\varphi), X(\varphi) \right\rangle = 0$$
, for $f \equiv 1$ on supp $(X), \forall \phi \in \mathfrak{E}(M)$





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The field equation: $S'_M(\varphi) = 0$. A symmetry of the action: $0 = \partial_X(S_M)(\varphi) =: \delta_S(X)(\varphi)$. (i.e. a direction in $\mathfrak{E}(M)$ in which the action is constant).

Space of solutions: 𝔅_S(M) ⊂ 𝔅(M). Functionals that vanish on 𝔅_S(M): 𝔅₀(M). Assume that they are of the form: δ_S(X) for some X ∈ 𝔅(M).



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- Since $\delta_S(X)(\phi) = \langle S'_M(\varphi), X(\varphi) \rangle$ one says that $\mathfrak{F}_0(M)$ "is generated by EOMs".



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- We obtain a sequence: $0 \to Symm. \hookrightarrow \mathfrak{V}(M) \xrightarrow{\delta_S} \mathfrak{F}(M) \to 0.$



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- We obtain a sequence: $0 \to Symm. \hookrightarrow \mathfrak{V}(M) \xrightarrow{\delta_S} \mathfrak{F}(M) \to 0.$
- Functionals on $\mathfrak{E}_{\mathcal{S}}(M)$: $\mathfrak{F}_{\mathcal{S}}(M) \doteq \mathfrak{F}(M)/\mathfrak{F}_0(M) = H_0(\delta_{\mathcal{S}})$.



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• In the absence of symmetries the graded algebra $\bigwedge \mathfrak{V}(M)$ with the differential δ_S provides the resolution of $\mathfrak{F}_S(M) = \mathfrak{F}(M)/\mathfrak{F}_0(M)$, called the Koszul resolution.



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- We have the following complex:

$$\ldots \to \bigwedge^2 \mathfrak{V}(M) \xrightarrow{1} \mathfrak{V}(M) \xrightarrow{\delta_S} \mathfrak{F}(M) \to 0$$



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•
$$H_0(\delta_S) = \mathfrak{F}(M)/\mathfrak{F}_0(M) = \mathfrak{F}_S(M),$$



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Vector fields in
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 ^(M) correspond to objects called in physics
 antifields. The grading of Koszul complex is called *antifield number* #af.



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- Vector fields in $\mathfrak{V}(M)$ correspond to objects called in physics *antifields*. The grading of Koszul complex is called *antifield number* #af.
- The so called *antibracket* is in our formalism just the Schouten bracket {.,.} on the multivector fields.



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- Vector fields in $\mathfrak{V}(M)$ correspond to objects called in physics *antifields*. The grading of Koszul complex is called *antifield number* #af.
- The so called *antibracket* is in our formalism just the Schouten bracket {.,.} on the multivector fields.
- Derivation δ_S is not inner with respect to $\{., .\}$, but locally it can be written as $\delta_S X = \{X, L_M(f)\}$ for $f \equiv 1$ on suppX, $X \in \mathfrak{V}(M)$.



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The configuration space is 𝔅(M) = Γ((T*M)^{⊗2}), the space of rank (0, 2) tensors.



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- The configuration space is 𝔅(M) = Γ((T*M)^{⊗2}), the space of rank (0, 2) tensors.
- The Einstein-Hilbert Lagrangian reads:

 $L_{(M,g)}(f)(h) \doteq \int R[\tilde{g}]f \,\mathrm{d} \operatorname{vol}_{(M,\tilde{g})}, \quad \tilde{g} = g + h, \text{ where} \\ h \in U_g \subset \mathfrak{E}(M) \text{ and } U_g \text{ is an open neigh. of } g, \text{ s.t. } \tilde{g} \text{ is a} \\ \text{Lorentz metric of signture } (-+++).$



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- The symmetry group is the group $\text{Diff}_c(M)$ of compactly supported diffeomorphisms. It can be treated as an infinite dimensional Lie group modeled on $\mathfrak{X}_c(M)$, the space of compactly supported vector fields on M.



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- The most general nontrivial local symmetries can be written as elements of 𝔅(M) := 𝔅[∞]_{ml}(𝔅(M), 𝔅_c(M)) ("ml" stands for "multilocal"). With the action ρ of 𝔅(M) on F ∈ 𝔅(M):
 ρ_M(Q)(h) = ⟨F⁽¹⁾(h), 𝔅_{Q(h)}ğ⟩



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 ρ_M(Q)(h) = ⟨F⁽¹⁾(h), 𝔅_{Q(h)}ğ⟩
- We want to find gauge inv. functionals on-shell: \mathfrak{F}_{S}^{inv} .





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With the Lie algebra action of $\mathfrak{g}_c(M)$ on $\mathfrak{F}(M)$ one associates the Chevalley-Eilenberg complex. This is the graded algebra of smooth compactly supported multilocal (products of local) maps $\mathfrak{CE}(M) \doteq \mathcal{C}_{ml}^{\infty}(\mathfrak{E}(M), \Lambda \mathfrak{g}'(M)).$





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$$\begin{split} \gamma_{M} : & \Lambda^{q} \mathfrak{g}'(M) \otimes \mathfrak{F}(M) \to \Lambda^{q+1} \mathfrak{g}'(M) \otimes \mathfrak{F}(M) ,\\ (\gamma_{M}\omega)(\xi_{0},\ldots,\xi_{q}) & \doteq \sum_{i=0}^{q} (-1)^{i} \partial_{\rho_{M}(\xi_{i})}(\omega(\xi_{0},\ldots,\hat{\xi}_{i},\ldots,\xi_{q})) + \\ & + \sum_{i < j} (-1)^{i+j} \omega \left([\xi_{i},\xi_{j}],\ldots,\hat{\xi}_{i},\ldots,\hat{\xi}_{j},\ldots,\xi_{q} \right) , \end{split}$$

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$$\begin{split} \gamma_M : & \Lambda^q \mathfrak{g}'(M) \otimes \mathfrak{F}(M) \to \Lambda^{q+1} \mathfrak{g}'(M) \otimes \mathfrak{F}(M) ,\\ \gamma_M \omega)(\xi_0, \dots, \xi_q) & \doteq \sum_{i=0}^q (-1)^i \partial_{\rho_M(\xi_i)}(\omega(\xi_0, \dots, \hat{\xi}_i, \dots, \xi_q)) + \\ & + \sum_{i < j} (-1)^{i+j} \omega \left([\xi_i, \xi_j], \dots, \hat{\xi}_i, \dots, \hat{\xi}_j, \dots, \xi_q \right) ,\end{split}$$

and extended by continuity. In particular for $F \in \mathfrak{F}(M)$ we have: $(\gamma F)(X) = \partial_{\rho(X)}F$ and $\gamma F = 0$ if $F \in \mathfrak{F}^{inv}(M)$.



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• The 0-cohomology of γ gives the gauge-invariant functionals: $H^0(\mathfrak{CE}(M), \gamma) = \mathfrak{F}^{inv}(M)$.



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- The 0-cohomology of γ gives the gauge-invariant functionals: H⁰ (𝔅𝔅(M), γ) = 𝔅^{inv}(M).
- In physics elements of \mathfrak{X}' are called the ghost fields. The grading is called the pure ghost number #pg.



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- One can think of $\mathfrak{CE}(M)$ as the functions on the differential graded manifold $\mathfrak{E}(M) \oplus \mathfrak{X}(M)[1]$ (c.f. Costello).



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- The on-shell version of the Chevalley-Eilenberg complex is: $\mathfrak{C}\mathfrak{E}_{\mathcal{S}}(M) = (\mathcal{C}^{\infty}_{\mathrm{ml}}(\mathfrak{E}_{\mathcal{S}}(M), \Lambda\mathfrak{g}'(M)), \gamma).$



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- Now we construct the Koszul-Tate resolution of the algebra $\mathfrak{CE}_S(M)$.





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Let 𝔅𝔅(𝒴) be the subset of 𝔅[●]Der(𝔅𝔅(𝒴)) (graded symmetric powers) consisting of derivations that can be written as multilocal compactly supported maps on 𝔅(𝒴).
 The corresponding grading is denoted by #gh (ghost number).



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- Let BU(M) be the subset of S[•]Der(CE(M)) (graded symmetric powers) consisting of derivations that can be written as multilocal compactly supported maps on E(M). The corresponding grading is denoted by #gh (ghost number).
- Formally S[•]Der(𝔅𝔅(M)) would be the odd cotangent bundle of 𝔅(M) ⊕ 𝔅(M)[1] (c.f. Costello).



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- The graded commutator [., .] on Der(CE(M)) and the evaluation of a derivation on an element of CE(M) are special instances of the Schouten bracket {., .} on BD(M).



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 $\{\omega, \theta_M(f)\} = \gamma(\omega) \text{ if supp}(\omega) \subset f^{-1}(1), \omega \in \mathfrak{CE}(M).$



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$$\mathfrak{BV}(M) = \mathcal{C}^{\infty}_{\mathrm{ml}}\Big(\mathfrak{E}(M), \ \bigwedge \mathfrak{E}_c(M) \ \widehat{\otimes} \ \bigwedge \mathfrak{g}'(M) \ \widehat{\otimes} \ S^{ullet}\mathfrak{g}_c(M) \Big)$$



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Conclusions

$$\mathfrak{BV}(M) = \mathcal{C}_{\mathrm{nl}}^{\infty} \Big(\mathfrak{E}(M), \bigwedge \mathfrak{E}_{c}(M) \widehat{\otimes} \bigwedge \mathfrak{g}'(M) \widehat{\otimes} S^{\bullet} \mathfrak{g}_{c}(M) \Big)$$

Antifields: $\#\mathrm{af} = 1, \#\mathrm{gh} = -1$



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• Antifields: $\#\mathrm{af} = 1, \#\mathrm{gh} = -1$
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• We expand *s* wrt antifield number: $s = s^{(-1)} + s^{(0)}$, where:





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We expand s wrt antifield number: s = s⁽⁻¹⁾ + s⁽⁰⁾, where:
 s⁽⁻¹⁾ is the K-T differential providing the resolution of C€s(M): ... → Λ²𝔅 ⊕ 𝔅 δ_S⊕ρ 𝔅 δ_S δ_S → 0





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 - $s^{(0)}$ is the Chevalley-Eilenberg differential on $\mathfrak{C}\mathfrak{E}_{\mathcal{S}}(M) = \mathcal{C}^{\infty}_{\mathrm{ml}}(\mathfrak{E}_{\mathcal{S}}(M), \Lambda\mathfrak{g}'(M)).$





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• The gauge invariant observables are given by: $H^0(\mathfrak{BV}(M), s) = H^0(\mathfrak{CE}_S(M), s^{(0)}) = \mathfrak{F}_S^{inv}(M)$



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Problem

On the fixed background the cohomology is trivial.



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On the fixed background the cohomology is trivial.

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We define the algebra of fields as: $Fld = \bigoplus_{k=0}^{\infty} \operatorname{Nat}(\mathfrak{E}_{c}^{k}, \mathfrak{BV})$. The action of symmetries on natural transformations $\Phi \in \operatorname{Nat}(\mathfrak{E}_{c}, \mathfrak{F})$: $(\rho_{M}(X)\Phi_{M})(f) := \partial_{\rho_{M}(X)}(\Phi_{M}(f)) + \Phi_{M}(\rho_{M}(X)f), \qquad X \in \mathfrak{X}(M).$





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• In the framework of locally covariant field theory (Brunetti-Fredenhagen-Verch) fields are natural transformation between certain functors.





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- In the framework of locally covariant field theory (Brunetti-Fredenhagen-Verch) fields are natural transformation between certain functors.
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- In classical gravity we understand physical quantities not as pointwise objects but rather as something defined on all the spacetimes in a coherent way.



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- In classical gravity we understand physical quantities not as pointwise objects but rather as something defined on all the spacetimes in a coherent way.
- For example scalar curvature R is invariant in this sense, but R(x) (curvature at a given point) not.



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Conclusions

• The set *Fld* becomes a graded algebra if we equip it with a graded product defined as:

$$(\Phi\Psi)_M(f_1,...,f_{p+q}) = = \frac{1}{p!q!} \sum_{\pi \in P_{p+q}} \Phi_M(f_{\pi(1)},...,f_{\pi(p)}) \Psi_M(f_{\pi(p+1)},...,f_{\pi(p+q)}).$$



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• The BV-differential on *Fld* is now given by: $(s\Phi)_M(f) := s_0(\Phi_M(f)) + (-1)^{|\Phi|} \Phi_M(\rho_M(.)f),$ where s_0 is the BV differential on the fixed background.



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• The set *Fld* becomes a graded algebra if we equip it with a graded product defined as:

$$(\Phi\Psi)_M(f_1,...,f_{p+q}) = = \frac{1}{p!q!} \sum_{\pi \in P_{p+q}} \Phi_M(f_{\pi(1)},...,f_{\pi(p)}) \Psi_M(f_{\pi(p+1)},...,f_{\pi(p+q)}).$$

- The BV-differential on *Fld* is now given by: $(s\Phi)_M(f) := s_0(\Phi_M(f)) + (-1)^{|\Phi|} \Phi_M(\rho_M(.)f),$ where s_0 is the BV differential on the fixed background.
- The 0-cohomology of *s* is nontrivial, since it contains for example the Riemann tensor contracted with itself, smeared with a test function:

$$\Phi_{(M,g)}(f)(h) = \int_{M} R_{\mu\nu\alpha\beta}[\tilde{g}] R^{\mu\nu\alpha\beta}[\tilde{g}] f d\mathrm{vol}_{(M,\tilde{g})} \quad \tilde{g} = g + h.$$

BV formalism

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- In general relativity the basic physical objects are fields (natural transformations), since they are defined not on a fixed background but rather on a class of spacetimes in a coherent way.
- The BV differential can be defined on the algebra of fields *Fld* and gives a homological interpretation to the notion of *gauge invariant physical quantities* in general relativity.



Katarzyna Rejzner

Appendix



Thank you for your attention

